

Coding Theory: Linear Codes

What is Coding Theory?

- Communication channels

Transmitter



Channel



Receiver



Data



Noise



Corrupted Data

A Generic Communication Channel

Transmitter

Channel

Receiver



$$\mathbf{x} = (x_1, \dots, x_k) \mapsto \underbrace{\mathbf{c} = (c_1, \dots, c_n)}_{\text{codeword, } n \geq k} \longrightarrow \mathbf{c} + \mathbf{e} \rightarrow \hat{\mathbf{x}} = (\hat{x}_1, \dots, \hat{x}_k)$$

Encoding

Given an alphabet A , a map that sends k data symbols

$(x_1, \dots, x_k) \in A^k$ to $n \geq k$ encoded symbols $(c_1, \dots, c_n) \in A^n$. The encoded vector $\mathbf{c} = (c_1, \dots, c_n)$ is called a codeword.

An encoding for $k = 1$:
 $(x_1) \mapsto (x_1, \dots, x_1)$, that is $c_1 = c_2 = \dots = c_n$.

If the alphabet A is $A = \{0, 1\}$, then
 $(0) \mapsto (0, \dots, 0)$ and
 $(1) \mapsto (1, \dots, 1)$.

Discrete Alphabets

- Arithmetic Modulo p

Modulo 2

+	0	1
0	0	1
1	1	0

·	0	1
0	0	0
1	0	1

Modulo 3

+	0	1	2
0	0	1	2
1	1	2	0
2	2	0	1

·	0	1	2
0	0	0	0
1	0	1	2
2	0	2	1

Modulo p (a prime): take the remainder of the Euclidean division by p .

Discrete Alphabets

- Arithmetic Modulo p

Modulo 4

$+$	0	1	2	3	\cdot	0	1	2	3
0	0	1	2	3	0	0	0	0	0
1	1	2	3	0	1	0	1	2	3
2	2	3	0	1	2	0	2	0	2
3	3	0	1	2	3	0	3	2	1

Modulo m (m not a prime): what is the difference?

Discrete Alphabets

- Arithmetic Modulo p

So $2 \cdot 2 \equiv 0 \pmod{4}$. What does it change?

Discrete Alphabets

- Arithmetic Modulo p

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Actually a lot...

- If $2x = 0$, it is not true that $x = 0$, it could also be that $x = 2$.
- This also shows that a polynomial of degree 1 can have two solutions...
- and that two numbers different from 0 once multiplied together actually give 0.
- Also $2x = 1$ does not have a solution.

Finite field \mathbb{F}_p

For p a prime, the set of integers modulo p represented by $\{0, 1, \dots, p-1\}$ is a finite field, denoted by \mathbb{F}_p .

\mathbb{F}_p is finite means

$$|\mathbb{F}_p| = p < \infty.$$

Informally, that \mathbb{F}_p is a field means that computations work as usual, namely we can add, subtract, multiply, in a commutative manner, and divide as long as it is not by 0.

Inverse in \mathbb{F}_p

For x a non-zero element in \mathbb{F}_p , its (multiplicative) inverse is the element in \mathbb{F}_p denoted by x^{-1} which satisfies that

$$x \cdot x^{-1} = x^{-1} \cdot x = 1.$$

The inverse of 3 modulo 5:

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The inverse of 3 modulo 5: 2 is the inverse of 3 since $2 \cdot 3 \equiv 1 \pmod{5}$. Find two elements that are their own inverse modulo 7:

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The inverse of 3 modulo 5: 2 is the inverse of 3 since $2 \cdot 3 \equiv 1 \pmod{5}$.

Find two elements that are their own inverse modulo 7: 1 is its own inverse since $1 \cdot 1 \equiv 1 \pmod{7}$, but so is 6 since $6 \cdot 6 = 36 \equiv 1 \pmod{7}$.

Discrete Alphabets

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Exercise. Prove that if m is not a prime integer, then integers modulo m cannot form a finite field.

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If m is not a prime, then m is a composite number, that is $m = ab$ for a, b some integers which are not zero. Then $ab \equiv 0 \pmod{m}$.

Discrete Alphabets

- Arithmetic Modulo p

Exercise. Prove that if m is not a prime integer, then integers modulo m cannot form a finite field.

If m is not a prime, then m is a composite number, that is $m = ab$ for a, b some integers which are not zero. Then $ab \equiv 0 \pmod{m}$. Now a cannot be invertible, if it were, consider a^{-1} and multiply $ab \equiv 0 \pmod{m}$ by a^{-1} to get $b \equiv 0 \pmod{m}$, a contradiction.

Discrete Alphabets

■ Another finite field

Suppose there exists an element ω which is a zero of $X^2 + X + 1 \pmod{2}$. Then $\omega \neq 0, 1$,

$$\omega^2 = \omega + 1 \pmod{2}, \quad \omega^3 = \omega(\omega + 1) = \omega^2 + \omega = 1 \pmod{2}.$$

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\mathbb{F}_4

$+$	0	1	ω	ω^2	\cdot	0	1	ω	ω^2
0	0	1	ω	ω^2	0	0	0	0	0
1	1	0	ω^2	ω	1	0	1	ω	ω^2
ω	ω	ω^2	0	1	ω	0	ω	ω^2	1
ω^2	ω^2	ω	1	0	ω^2	0	ω^2	1	ω

Discrete Alphabets

- Finite fields

We will denote by \mathbb{F}_q a finite field with q elements.

So far, we know \mathbb{F}_p and \mathbb{F}_4 , we will know more later, but in the meantime, we will use the notation.

Linear Encoding

Given a finite field \mathbb{F}_q , a linear map that sends k data symbols

$(x_1, \dots, x_k) \in \mathbb{F}_q^k$ to

$n \geq k$ encoded symbols

$(c_1, \dots, c_n) \in \mathbb{F}_q^n$.

Since we work over \mathbb{F}_q :

Codewords belong to \mathbb{F}_q^n , which is a vector space.

Since the encoding is a linear map, by definition

(1) the sum of two codewords is again a codeword, and (2) a multiple of a codeword is again a codeword.

Linear (n, k) code

Given a finite field \mathbb{F}_q , a set of codewords $\{(c_1, \dots, c_n), c_i \in \mathbb{F}_q\} \in \mathbb{F}_q^n$ is said to form a linear code (or codebook) \mathcal{C} if (1) the sum of two codewords is again a codeword, and (2) a multiple of a codeword is again a codeword.

The whole zero codeword $\mathbf{0} \in \mathcal{C}$.

If $\mathbf{c} \in \mathcal{C}$, so is $-\mathbf{c}$.

\mathcal{C} forms a linear subspace of \mathbb{F}_q^n , it thus has a **dimension**, namely k , and we call n the **length**.

An (n, k) linear code \mathcal{C} over \mathbb{F}_q contains q^k codewords.

We write $|\mathcal{C}| = q^k$.

Let $\mathbf{b}_1, \dots, \mathbf{b}_k$ be a basis for \mathcal{C} . Then codewords are obtained as every possible linear combination:

$$x_1 \mathbf{b}_1 + \dots + x_k \mathbf{b}_k,$$

there are q possible values for each x_i , $i = 1, \dots, k$. \square

Linear (n, k) codes

Linear algebra

Linear algebra

For V, W finite-dimensional vector spaces, with a basis for each space, a linear map can be represented by a matrix in the given bases.

Linear codes

Given $\mathbb{F}_q^k, \mathbb{F}_q^n$, fix a basis for each space, a linear encoding is represented by a **generator** matrix.

Generator matrix

Given a finite field \mathbb{F}_q , a generator matrix G for an (n, k) linear code \mathcal{C} is a $k \times n$ matrix, which contains as rows the basis vectors of \mathcal{C} .

There are many generator matrices.

There is a unique generator matrix of the form $G = [\mathbf{I}_k | A]$ where \mathbf{I}_k is the identity matrix. The code is said to be in **systematic form**.

Linear Codes

■ Generator matrices

$$\underbrace{(x_1, \dots, x_k)}_{\text{information data}} \underbrace{\begin{bmatrix} & a_{11} & a_{1,n-k} \\ \mathbf{I}_k & \vdots & \vdots \\ & a_{k,1} & a_{k,n-k} \end{bmatrix}}_{\text{generator matrix } G} = \underbrace{(x_1, \dots, x_k, c_{k+1}, \dots, c_n)}_{\text{codeword}}$$

Linear Codes

■ Generator matrices

The $(n, 1)$ repetition code

- Dimension: $k = 1$.
- Length: n .
- Encoding: $(x_1) \mapsto (x_1, \dots, x_1) \in \mathbb{F}_q^n$.

Linear Codes

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- Dimension: $k = 1$.
- Length: n .
- Encoding: $(x_1) \mapsto (x_1, \dots, x_1) \in \mathbb{F}_q^n$.
 $(x_1)[1, \dots, 1] = (x_1 \dots, x_1)$.

Linear Codes

■ Generator matrices

The $(n, n - 1)$ single parity check code

- Dimension: $k = n - 1$.
- Length: n
- Encoding: $(x_1, \dots, x_k) \mapsto (x_1, \dots, x_k, \sum_{i=1}^k x_i) \in \mathbb{F}_q^n$

Linear Codes

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- Length: n
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$$(x_1, \dots, x_k) \begin{bmatrix} & 1 \\ \mathbf{I}_k & \vdots \\ & 1 \end{bmatrix} = (x_1 \dots, x_k, x_1 + \dots + x_k).$$

Linear Codes

■ Generator matrices

Exercise. Consider the following codebook of length $n = 4$ over \mathbb{F}_2 : $\{(1, 0, 0, 0), (0, 1, 0, 1), (1, 1, 0, 1), (0, 0, 0, 1)\}$. Is this code a linear code? If so, provide a generator matrix.

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The code cannot be linear, because $(0, 0, 0, 0)$ does not belong to the code.

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The code cannot be linear, because $(1, 1, 0, 1) + (0, 0, 0, 1)$ does not belong to the code.

Linear Codes

■ Generator matrices

Exercise. Consider the codebook of length $n = 5$ over \mathbb{F}_2 containing: $(0, 0, 0, 0, 0), (1, 0, 0, 1, 0), (0, 1, 0, 1, 1), (1, 1, 0, 0, 1), (0, 0, 1, 0, 1), (1, 0, 1, 1, 1), (0, 1, 1, 1, 0), (1, 1, 1, 0, 0)$. Is this code a linear code? If so, provide a generator matrix.

Linear Codes

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The code is linear. The first three coefficients run through every possible vectors in \mathbb{F}_2^3 , namely $(0, 0, 0), (1, 0, 0), (0, 1, 0), (1, 1, 0), (0, 0, 1), (1, 0, 1), (0, 1, 1), (1, 1, 1)$.

Linear Codes

■ Generator matrices

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The code is linear. The first three coefficients run through every possible vectors in \mathbb{F}_2^3 , namely $(0, 0, 0), (1, 0, 0), (0, 1, 0), (1, 1, 0), (0, 0, 1), (1, 0, 1), (0, 1, 1), (1, 1, 1)$. We next show that there is a generator matrix (which is enough to conclude the code is linear):

$$(x_1, x_2, x_3) \begin{bmatrix} 1 & 0 & 0 & a_{11} & a_{12} \\ 0 & 1 & 0 & a_{21} & a_{22} \\ 0 & 0 & 1 & a_{31} & a_{32} \end{bmatrix}.$$

Linear Codes

■ Generator matrices

Exercise. Consider the codebook of length $n = 5$ over \mathbb{F}_2 containing: $(0, 0, 0, 0, 0)$, $(1, 0, 0, 1, 0)$, $(0, 1, 0, 1, 1)$, $(1, 1, 0, 0, 1)$, $(0, 0, 1, 0, 1)$, $(1, 0, 1, 1, 1)$, $(0, 1, 1, 1, 0)$, $(1, 1, 1, 0, 0)$. Is this code a linear code? If so, provide a generator matrix.

$$(1, 0, 0) \begin{bmatrix} 1 & 0 & 0 & a_{11} & a_{12} \\ 0 & 1 & 0 & a_{21} & a_{22} \\ 0 & 0 & 1 & a_{31} & a_{32} \end{bmatrix} = (1, 0, 0, a_{11}, a_{12})$$

$$(x_1, x_2, x_3) \begin{bmatrix} 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \end{bmatrix}.$$

Linear (n, k) codes

Linear algebra

Linear algebra

A subspace W of a vector space V is the kernel of some linear transformation (the projection onto W).

Linear codes

Given an (n, k) linear code over \mathbb{F}_q , there exists an $(n - k) \times n$ matrix H such that

$$\mathcal{C} = \{\mathbf{x} \in \mathbb{F}_q^n, H\mathbf{x}^T = \mathbf{0}\},$$

called a **parity check matrix**.

If $G = [\mathbf{I}_k | A]$ is a generator matrix for the (n, k) code \mathcal{C} , then $H = [-A^T | \mathbf{I}_{n-k}]$.

We have

$$G^T = \begin{bmatrix} \mathbf{I}_k \\ A^T \end{bmatrix}$$

and

$$HG^T = -A^T + A^T = \mathbf{0}.$$

If $\mathbf{c} \in \mathcal{C}$, $\mathbf{c} = \mathbf{x}G$ and $H\mathbf{c}^T = HG^T\mathbf{x}^T$.

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If $\mathbf{c} \in \mathcal{C}$, $\mathbf{c} = \mathbf{x}G$ and $H\mathbf{c}^T = HG^T\mathbf{x}^T$.

Thus \mathcal{C} is contained in the kernel of the linear map $\mathbf{v} \mapsto H\mathbf{v}^T$. As H has rank $n - k$, this map has a kernel of dimension k , which is the dimension of \mathcal{C} . \square

Linear Codes

■ Parity check matrices

The $(n, 1)$ repetition code

Generator matrix in systematic form:

$$[1, \underbrace{1 \dots 1}_A] = [\mathbf{I}_k | A].$$

Parity check matrix in systematic form:

Linear Codes

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Generator matrix in systematic form:

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Parity check matrix in systematic form:

$$[-A^T | \mathbf{I}_{n-k}] = \left[\begin{array}{c|c} -1 & \\ \vdots & \mathbf{I}_{n-k} \\ -1 & \end{array} \right]$$



Data - Encoder - Channel - Decoder

(n, k) linear code

Generator matrix

Parity check matrix

$\mathbb{F}_p, \mathbb{F}_4$