

Coding Theory: Golay Codes

Good Codes

- Codes seen so far

$(n, k, d_H)_q$	k/n	name
$(n, 1, n)_q$	$\frac{1}{n}$	repetition
$(n, n-1, 2)_q$	$\frac{n-1}{n}$	parity check
$[(7, 4, 3)_2]$	$\frac{4}{7}$	Hamming
$[(4, 2, 3)_3]$	$\frac{1}{2}$	tetracode
$(\frac{q^r-1}{q-1}, n-r, 3)_q$	$\frac{n-r}{n}$	Hamming

Golay Codes

4 codes named Golay
codes: \mathcal{G}_{24} , \mathcal{G}_{23} , \mathcal{G}_{12} , \mathcal{G}_{11}



ref: https://ethw.org/Marcel_J._E._Golay

Golay Codes

■ \mathcal{G}_{24}

Binary (24, 12) code, with generator matrix $G = [\mathbf{I}_{12}, A]$ and

$$A = \left[\begin{array}{c|cccccccccccc} 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \end{array} \right]$$

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row 2: mod 11, we have $0 \equiv 0^2$, $1 \equiv 1^2$, $3 \equiv 5^2$, $4 \equiv 2^2$, $5 \equiv 4^2$, $9 \equiv 3^2$

row $i + 1$ is a shift on the left of row i for $i \geq 2$ (bordered reverse circulant matrix).

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For rows i and j , $i, j \geq 3$, since both rows are shifts of row 2, shift both rows so row i is mapped to row 2, and use the previous argument.

Golay Codes

- \mathcal{G}_{24} is self-dual

For \mathbf{g}_i a row of G , we showed that $\mathbf{g}_i \cdot \mathbf{g}_j^T = 0$ for all i, j .

For \mathbf{c} a codeword in \mathcal{G}_{24} , $\mathbf{c} = \sum_{i=1}^k x_i \mathbf{g}_i$.

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By definition $\mathcal{G}_{24}^\perp = \{\mathbf{x} \in \mathbb{F}_2^{24}, \mathbf{x} \cdot \mathbf{c}^T = 0 \text{ for all } \mathbf{c} \in \mathcal{G}_{24}\}$.

$$\underbrace{\left(\sum_{i=1}^k x'_i \mathbf{g}_i\right)}_{\mathbf{c}' \in \mathcal{G}_{24}} \cdot \underbrace{\left(\sum_{j=1}^k x_j \mathbf{g}_j\right)^T}_{\mathbf{c}^T \in \mathcal{G}_{24}} = 0 \text{ thus } \mathcal{G}_{24} \subseteq \mathcal{G}_{24}^\perp.$$

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- Since $\mathcal{G}_{24} \subseteq \mathcal{G}_{24}^\perp$ and $\dim(\mathcal{G}_{24}) = \dim(\mathcal{G}_{24}^\perp) = 12$, we have $\mathcal{G}_{24} = \mathcal{G}_{24}^\perp$.

Golay Codes

Hamming distance

Since \mathcal{G}_{24} is self-dual and rows of G have weights 8 or 12, codewords of \mathcal{G}_{24} have weights divisible by 4 (see HW).

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Suppose $wt(\mathbf{c}) = 4$. Write $\mathbf{c} = (\mathbf{c}_1, \mathbf{c}_2)$, $\mathbf{c}_1, \mathbf{c}_2 \in \mathbb{F}_2^{12}$.

1. $wt(\mathbf{c}_1) = 0$, $wt(\mathbf{c}_2) = 4$: $wt(\mathbf{c}_1) = 0$ implies that the data symbols are all 0.
2. $wt(\mathbf{c}_1) = 1$, $wt(\mathbf{c}_2) = 3$: $wt(\mathbf{c}_1) = 1$ implies \mathbf{c} is a row of G .
3. $wt(\mathbf{c}_1) = 2$, $wt(\mathbf{c}_2) = 2$: \mathbf{c} is the sum of two rows of G .

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4. $A = A^T$ and since \mathcal{G}_{24} is self-dual, $H = [A|\mathbf{I}_{12}]$ is a generator matrix. Thus if $(\mathbf{c}_1, \mathbf{c}_2) \in \mathcal{G}_{12}$, so is $(\mathbf{c}_2, \mathbf{c}_1)$.

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Suppose $wt(\mathbf{c}) = 4$. Write $\mathbf{c} = (\mathbf{c}_1, \mathbf{c}_2)$, $\mathbf{c}_1, \mathbf{c}_2 \in \mathbb{F}_2^{12}$.

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 - $d_H(\mathcal{G}_{24}) = 8$.

Puncturing

For a linear $(n, k, d)_q$ code \mathcal{C} , puncturing means deleting the same coordinate i in each codeword. The resulting code is denoted by \mathcal{C}^* .

- (1) \mathcal{C}^* has length $n - 1$.
- (2) Delete column i from the generator matrix (so \mathcal{C}^* is linear).

Consider the tetracode \mathcal{C} over \mathbb{F}_3 , $(x_1, x_2) \mapsto (x_1, x_2, x_1 + x_2, x_1 - x_2)$:

$$G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & -1 \end{bmatrix}.$$

Puncture in coordinate 3 to get $(x_1, x_2, x_1 - x_2)$, puncture in coordinate 4 to get $(x_1, x_2, x_1 + x_2)$.

Dimension after
puncturing.

Puncturing does not increase the number of codewords. Can it reduce it?

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To have less codewords, we would need two codewords of \mathcal{C} that agree in all coordinates but i , then when i is punctured, both codewords become the same and the number reduces, but that would mean that the Hamming distance of \mathcal{C} is 1.

Minimum distance after
puncturing.

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Minimum distance after puncturing.

Puncturing does not increase the minimum distance. Can it reduce it?

The minimum Hamming distance will decrease by 1 only if a codeword with minimum weight has a nonzero i th coordinate.

Puncturing.

For \mathcal{C}^* the code punctured on the i th coordinate: (1) if $d > 1$, \mathcal{C}^* is an $(n - 1, k, d^*)$ code where $d^* = d - 1$ if \mathcal{C} has a minimum weight codeword with a nonzero i th coordinate and $d^* = d$ otherwise.

For the $(5, 2, 2)_2$ code given by

$$G = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 \end{bmatrix},$$

puncture in coordinate 1:

$$G_1^* = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \end{bmatrix},$$

since G has distance 2 and the codeword $(1, 1, 0, 0, 0)$ of weight 2 which is punctured in 1, the new minimum distance is 1.

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For the $(5, 2, 2)_2$ code given by

$$G = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 \end{bmatrix},$$

puncture in coordinate 5:

$$G_5^* = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}.$$

Since \mathcal{C} contains $(0, 0, 0, 0, 0)$, $(0, 0, 1, 1, 1)$, $(1, 1, 0, 0, 0)$, $(1, 1, 1, 1, 1)$, $wt(1, 1, 0, 0, 0) = 2$ with a 0 in the 5th coordinate, so the minimum distance is 2.

Puncturing.

For \mathcal{C}^* the code punctured on the i th coordinate: (2) if $d = 1$, \mathcal{C}^* is an $(n - 1, k, 1)$ code if \mathcal{C} has no codeword of weight 1 whose nonzero entry is in coordinate i , otherwise, if $k > 1$, \mathcal{C}^* is an $(n - 1, k - 1, d^*)$ code with $d^* \geq 1$.

For the $(4, 2, 1)_2$ code given by

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \end{bmatrix},$$

puncture in coordinate 4:

$$G_4^* = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix},$$

since \mathcal{C} has a codeword of weight 1 but its nonzero entry is in coordinate 1, the distance is still 1.

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For the $(4, 2, 1)_2$ code given by

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \end{bmatrix},$$

puncture in coordinate 1:

$$G_1^* = [1 \quad 1 \quad 1],$$

the dimension drops. Since \mathcal{C} has a unique codeword of weight 1 and its nonzero entry is in coordinate 1, this codeword disappears and the new distance is actually 3.

Golay Codes

■ \mathcal{G}_{23}

Binary (23, 12) code, with generator matrix $G^* = [\mathbf{I}_{12}, A^*]$ and

$$A^* = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

This is \mathcal{G}_{24}^* , that is \mathcal{G}_{24} punctured in the last coordinate.

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For \mathcal{C}^* the code punctured on the i th coordinate: (1) if $d > 1$, \mathcal{C}^* is an $(n - 1, k, d^*)$ code where $d^* = d - 1$ if \mathcal{C} has a minimum weight codeword with a nonzero i th coordinate and $d^* = d$ otherwise.

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Several rows of G have weight 8, and a 1 in the last coordinate, after puncturing the last column, they will yield codewords of weight 7.

- $d_H(\mathcal{G}_{23}) = 7$.

Golay Codes

■ \mathcal{G}_{12}

Ternary (12, 6) code, with generator matrix $G = [\mathbf{I}_6, A]$ and

$$A = \left[\begin{array}{c|cccccc} 0 & 1 & 1 & 1 & 1 & 1 \\ \hline 1 & 0 & 1 & 2 & 2 & 1 \\ 1 & 1 & 0 & 1 & 2 & 2 \\ 1 & 2 & 1 & 0 & 1 & 2 \\ 1 & 2 & 2 & 1 & 0 & 1 \\ 1 & 1 & 2 & 2 & 1 & 0 \end{array} \right]$$

This code is a (12, 6, 6) self-dual ternary code (see HW).

Golay Codes

■ \mathcal{G}_{11}

Ternary (11,6) code, with generator matrix $G^* = [\mathbf{I}_6, A^*]$ and

$$A^* = \left[\begin{array}{c|ccccc} 0 & 1 & 1 & 1 & 1 \\ \hline 1 & 0 & 1 & 2 & 2 \\ 1 & 1 & 0 & 1 & 2 \\ 1 & 2 & 1 & 0 & 1 \\ 1 & 2 & 2 & 1 & 0 \\ 1 & 1 & 2 & 2 & 1 \end{array} \right]$$

This is \mathcal{G}_{12}^* , that is \mathcal{G}_{12} punctured in the last coordinate.

Sphere Packing Bound

■ Examples

Binary Golay codes

$$SPB = \frac{q^n}{\sum_{i=0}^t \binom{n}{i} (q-1)^i}$$

Sphere Packing Bound

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Binary Golay codes

$$SPB = \frac{q^n}{\sum_{i=0}^t \binom{n}{i} (q-1)^i}$$

Both codes contain 2^{12} codewords, $t = 3$ ($d_H = 7, 8$) and

$$SPB = \begin{cases} \frac{2^{24}}{\sum_{i=0}^3 \binom{24}{i}} = \frac{2^{24}}{1+24+276+2024} = \frac{2^{24}}{2325} & n = 24 \\ \frac{2^{23}}{\sum_{i=0}^3 \binom{23}{i}} = \frac{2^{23}}{1+23+253+1771} = \frac{2^{23}}{211} & n = 23 \end{cases}$$

so \mathcal{G}_{23} is perfect.

Sphere Packing Bound

■ Examples

Ternary Golay codes

$$SPB = \frac{q^n}{\sum_{i=0}^t \binom{n}{i} (q-1)^i}$$

Sphere Packing Bound

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Ternary Golay codes

$$SPB = \frac{q^n}{\sum_{i=0}^t \binom{n}{i} (q-1)^i}$$

Both codes contain 3^6 codewords, $t = 2$ ($d_H = 6, 5$) and

$$SPB = \begin{cases} \frac{3^{12}}{\sum_{i=0}^2 \binom{12}{i} 2^i} = \frac{3^{12}}{1+24+264} = \frac{3^{12}}{289} & n = 12 \\ \frac{3^{11}}{\sum_{i=0}^2 \binom{11}{i} 2^i} = \frac{3^{11}}{1+22+220} = \frac{3^{11}}{243} & n = 11 \end{cases}$$

so \mathcal{G}_{11} is perfect.

Extending.

If \mathcal{C} is an $(n, k, d)_q$ code, the extended code $\hat{\mathcal{C}}$ is the code

$$\{(x_1, \dots, x_n, x_{n+1}) \in \mathbb{F}_q^{n+1}, (x_1, \dots, x_n) \in \mathcal{C}, x_1 + \dots + x_{n+1} = 0\}$$

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A generator matrix \hat{G} for $\hat{\mathcal{C}}$ can be obtained from G by adding an extra column to G , so that the sum of the coordinates of each row of \hat{G} is 0.

Thus the code $\hat{\mathcal{C}}$ is linear.

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Parity check matrix: For H a parity check matrix of \mathcal{C} ,

$$\hat{H} = \left[\begin{array}{ccc|c} 1 & \dots & 1 & 1 \\ \hline & & & 0 \\ & H & & \vdots \\ & & & 0 \end{array} \right]$$

- Minimum distance:

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- Minimum distance:
 $d_H(\hat{\mathcal{C}}) = d_H(\mathcal{C})$ or
 $d_H(\mathcal{C}) + 1$.

Extended Codes

■ Example

Extended tetracode over \mathbb{F}_3

$$G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & -1 \end{bmatrix} \rightarrow \hat{G} = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & -1 & -1 \end{bmatrix}$$

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In \mathcal{C} , $(1, 0, 1, 1)$ has weight 3, it is extended to $(1, 0, 1, 1, 0)$ which still has weight 3, so $\hat{d} = 3$.

Puncturing/Extending.

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If we puncture \mathcal{C} in its last coordinate and extend it, we may not get \mathcal{C} back.

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Puncture in the last coordinate

$$G = \begin{bmatrix} 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 \end{bmatrix}$$

to get

$$G^* = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

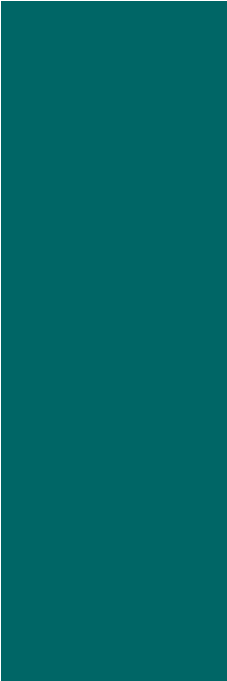
then extend

$$\hat{G}^* = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \end{bmatrix}$$

to find a different code.

Codes seen so far

$(n, k, d_H)_q$	k/n	name	perfect
$(n, 1, n)_q$	$\frac{1}{n}$	repetition	
$(n, n-1, 2)_q$	$\frac{n-1}{n}$	parity check	
$(\frac{q^r-1}{q-1}, n-r, 3)_q$	$\frac{n-r}{n}$	Hamming	yes
$(24, 12, 8)_2$	$\frac{1}{2}$	\mathcal{G}_{24}	no
$(23, 12, 7)_2$	$\frac{12}{23}$	\mathcal{G}_{23}	yes
$(12, 6, 6)_3$	$\frac{1}{2}$	\mathcal{G}_{12}	no
$(11, 6, 5)_3$	$\frac{6}{11}$	\mathcal{G}_{11}	yes



Golay codes

Puncturing

Extending